

**CAEATFA
Use Only**

Enrolled Loan #

Date Received

LOAN ENROLLMENT APPLICATION*

Lender Information

Participating Financial Institution ("PFI"): _____ PFI ID#: _____

Loan Officer Name: _____ Phone: _____ Email: _____

Borrower Information

PFI Loan Identification Number**: _____ FICO Score: _____ Debt-to-Income (DTI) Ratio: _____

**that does not convey any personal identifying information about the Borrower

City: _____ County: _____ Zip: _____

Loan Information

Summary of Eligible Improvements *(Must be recommended in the pre-project energy efficiency assessment)*

☐ Energy Efficiency ☐ Distributed Generation Renewable Energy Source(s) *(Must comply with Program loading order requirements)*

Is this loan amount in the first \$250,000 of Program Qualified Loans enrolled by PFI? ☐ Yes ☐ No

Total Loan Amount: \$ _____ Amount of Loan covered by Program: \$ _____

Type of Loan: ☐ Home Equity Loan ☐ Term Loan ☐ Second Mortgage ☐ _____

Is the loan secured? ☐ Yes ☐ No

Date of Receipt of Certificate of Completion by PFI: _____

Term of the Qualified Loan: _____ Maturity Date (MM/DD/YY): _____

Interest Rate: _____% ☐ Fixed ☐ Variable

What would the interest rate be if the loan was not enrolled in this Program? _____

Estimated savings to the Borrower in the form of lower cost financing over the term of the loan: _____

Estimated Authority's Loss Reserve Contribution amount to Loss Reserve Account: \$ _____

(continued on other side of form)

* All capitalized terms are defined in Section 10050 of the Clean Energy Upgrade Financing Program regulations.

Certification by Participating Financial Institution

Pursuant to Section 10054 of the Program regulations, _____ certifies that:
Participating Financial Institution

(please check)

- ☐ The loan is a Qualified Loan as defined in the Program regulations.
- ☐ The Borrower receiving the Qualified Loan meets the Minimum Underwriting Criteria set forth in the Program regulations.
- ☐ The total outstanding principal balance of all enrolled Qualified Loans specific to the Borrower does not exceed ten percent (10%) of the value of the Eligible Property as determined by the Participating Financial Institution.

Authorized Signature

Date

Title

Required Enclosures *(Please check - must be attached to complete this Loan Enrollment Application per Program regulations Section 10054(c)(4)):*

- ☐ Notification if the Participating Financial Institution has enrolled the same loan or a portion thereof in any government program substantially similar to the Program.

The Participating Financial Institution shall redact Borrower name, street address and any other personal identifying information from the copies of all documents prior to submission to the Authority:

- ☐ Certificate of Completion
- ☐ Pre-Project Assessment
- ☐ Post-Project Assessment ***including, if applicable*** *(please check):*
 - ☐ ***Energy efficiency improvements:*** A copy of the utility's post-retrofit approval notification *(If participating in a California Public Utilities Commission (CPUC)-approved residential whole-house energy efficiency retrofit program, in accordance with that program's quality assurance protocols; OR if participating in a residential whole-house energy efficiency retrofit program operated by a utility that is not subject to approval by the CPUC, in accordance with the quality assurance protocols established under the Home Performance with ENERGY STAR joint program of the U.S. Environmental Protection Agency and the U.S. Department of Energy)*
 - ☐ ***Energy efficiency improvements:*** No additional documentation *(if not participating in one of the retrofit programs specified above, and the Post-Project Assessment was conducted by an Independent Third-Party Inspector who is a HERS Whole House Rater, a BPI Building Analyst or BPI Energy Auditor in accordance with the BPI Building Analyst Professional Standard or BPI Home Energy Auditing Standard)*
 - ☐ ***Distributed generation renewable energy source improvements:*** A copy of the letter permitting the interconnection of the distributed generation renewable energy source from the utility servicing the Eligible Property

California Alternative Energy and Advanced Transportation Financing Authority Use Only

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|--|---|---|
| Approved Qualified Loan Amount \$ _____ | Loan Loss Reserve Contribution \$ _____ (_____ %) | PFI's Total Enrolled Loan Volume to Date \$ _____ <i>(including this loan enrollment)</i> |
| Authorized Signature | | Loan Enrollment Date |
| | | Enrolled Loan # _____ |